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John C. Hermansen, et al

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

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1. ☐ Fee Transmittal Form
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2. ☒ Specification [Total Pages **32**]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Sheets **8**]

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8. ☐ Assignment Papers (cover sheet & document(s))

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10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure ☐ Copies of IDS
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12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)
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SYSTEM AND METHOD FOR ADAPTIVE MULTI-CULTURAL SEARCHING AND MATCHING OF PERSONAL NAMES

This application claims the benefit of U.S. Provisional Patent Application
5 Serial No. 60/079,233, filed March 25, 1998, the entire disclosure of which is
incorporated herein by reference.

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10 this material in the course of making copies of the application file or any patents that
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FIELD OF THE INVENTION

15 The present invention relates generally to automatic data processing systems
that search and retrieve records from a database based on matching of personal
names, and to improved systems and methods for intelligently processing name
comparisons.

20

BACKGROUND OF THE INVENTION

Information about individuals is often stored in a computer. Access to that
information is most readily gained by using the name of the individual involved. The
25 nature of names, however, their behavior and permutations, pose significant
challenges to information retrieval. Names vary during one's life (e.g., through
marriage or professional preparation); they take on different forms, depending on the
formality of the situation (WILLIAM CARVER / BILLY CARVER); they may be

spelled differently if recorded by someone other than the individual (PRICE / PRIES).

To amplify the difficulties even more, naming conventions vary across cultures. It may not be appropriate to assume that the typical American name structure of single given name (first name), single middle name or initial followed by a surname (last name) applies in a database that contains names from all over the world, a situation that is usual in today's world of global technology and communication. Names from other cultures may have compound surnames or may be composed of only one name. Names written in writing systems other than Roman may be transcribed in a variety of ways into the Roman alphabet because there is no single way to represent sounds that occur in another language but do not occur in English, causing significant differences in the spelling (KIM / GHIM).

Adequate information retrieval that is based on the name must anticipate the range and kinds of variation that can occur in names, both generally and in specific cultures. Other name search or information retrieval systems are generally unable to recognize or address the full range of variation in names. Some systems assume that names are static and search only for an exact match on the name. These systems cannot accommodate even the slightest spelling variations, initials or abbreviations (JOS. Z. BROWN / JOSEPH ZACHARY BROWNE). Other systems may use techniques or keys (such as Soundex or Soundex-like keys) that permit some minor spelling differences between names (DORSHER / DOERSHER) but these techniques generally fail to cope with significant variation (DOERSHER / DOESHER) or problems posed by names from non-Anglo cultures (ABDEL RAHMAN / ABDURRAMAN). If cultural differences are recognized, it is typically through use of equivalency lists or tables. Some of the more common variants can be accommodated in this way, but retrieval is then limited to those items on the list and cannot accommodate new representations or random variation or keying errors (GOMEZ / BOMEZ).

For a system to reach a level of adequacy for automatic name searching, it must therefore address a diverse set of issues related to name variation. Although spelling variations can often be addressed through character-matching techniques (e.g., SMITH / SMYTH), false-positive matches can result from traditional string or character comparisons when common morphological endings, such as OVICH, occur at the end of otherwise dissimilar names (e.g., ZELENOVICH / JOVANOVICH). Transcription from foreign writing systems to the Roman writing system poses additional spelling concerns. Different character sets, dialectal variations and sounds that are not represented in Roman alphabetic form at all contribute to the possibility of multiple, and often inconsistent, representations of the same name. A single Chinese character (ideogram) can be transcribed to produce numerous roman forms that have little or no resemblance to one another due to dialectal variations. For example, the character CHANG, JANG and ZHANG are different roman representations of the exact same Chinese name, as are the names WU, MHO and ENG. Similarly, a single Arabic name can result in transcriptions as diverse as KHADHAFI, CODOFFI, QATHAFI. Character-based systems may also be confronted with significant retrieval problems caused by names with the same pronunciation but with divergent spellings. WOOSTER, WORCHESTER, and WUSTER may all share at least one identical pronunciation and yet show very different spellings. When name data are shared orally, the speaker's pronunciation, the listener's hearing (or mishearing) of the name and the speaker's expectations about the spelling of the name will impact the final written representation of a name. For example, a telephone reservationist may record a caller's name with a variety of phonetically correct spellings, which may not correspond (and may therefore not be matched to) an existing database record for that caller.

Another common cause of name variation, which creates retrieval difficulty for name search systems, is the inclusion or exclusion of name data. Depending on

the data source, names may be formal such as THOMAS EDWARD WINTHROP III, or informal such as TOM WINTHROP. An ideal name search system would be capable of correlating these two names, even though only a portion of the full name is available. To predict the relationship among variant formats of names, the system must also be able to recognize what rules govern which elements can be deleted or included or changed in different cultures. MARIA DEL CARMEN BUSTOS SAENZ will become MARIA DEL CARMEN BUSTOS DE LOPEZ, if she marries JUAN ANTONIO LOPEZ GARCIA. Predicting the relationship between these names is fundamental to retrieval success.

In many name search applications, it is important to identify variant forms of a name that are considered legitimate and to link and preserve the variations; in others, it may be appropriate to establish one form of a name and to treat all other forms as errors. Even if the data base is cleaned by linking variant forms and eliminating identifiable errors, users may search for names under yet more variations.

U.S. Patent 5,040,218 to Vitale et al. discloses a voice synthesis system which attempts to identify the origin of a name to enhance pronunciation. The system first searches a dictionary for a name, and if the name is not found, uses grapheme and n-gram analysis to identify the name's likely origin. Similarly, U.S. Patent 5,062,143 to Schmitt shows a system that identifies name origin using n-gram analysis.

U.S. Patent 5,724,481 to Garberg et al. shows a method of matching proper names in a database using a phonemic representation.

U.S. Patent 5,758,314 to McKenna shows an international database processing system. However, this system uses Soundex algorithms to process Unicode input for all cases, rather than providing a name searching system with culture-specific algorithms.

Design Patent D359,480 shows an IPA-based computer keyboard, but does not disclose any use of IPA for identifying data records.

The article "Identifying Source Languages: the Case of Proper Names" by Valencia and Yvon (1997) discloses statistical models for name searching based on n-gram comparisons. The article also discloses determination of the source language and the use of different statistical models for comparisons, based on the source language.

John Hermansen, a named inventor, authored a doctoral dissertation, "Automatic Name Searching in Large Data Bases of International Names" (1985) which explores the concept of cultural differences in names. The document suggests searching using different culturally specific algorithms, but discloses only a simple n-gram based algorithm.

The assignee has developed a software program known as PC-NAS. An early version of this program was incorporated into a government computer system more than one year before the priority date of this application. This early version performed name searching using a combination of n-gram distribution and positional properties, and included a limited name regularization algorithm as part of an Arabic processing algorithm. Its architecture included sets of algorithms applicable to different cultures, but no automatic classification of the cultural origin of a name.

U.S. Patent 5,485,373 to Davis et al. discloses a text searching system which relies on a Unicode representation (not a phonetic alphabet). The Davis system may vary algorithms based on the language being searched, but has no name classifier. This system is not designed to search for proper names; comparisons are performed based on a Unicode representation, which is not a phonetic alphabet.

Other patents relating generally to computerized language analysis and processing include: U.S. Patent 5,323,316 to Kadashevich et al.; U.S. Patent 5,337,232 to Sakai et al.; U.S. Patent 5,369,726 to Kroeker et al.; U.S. Patent 5,369,727 to Nomura et al.; U.S. Patent 5,371,676 to Heemels et al.; U.S. Patents 5,375,176 and 5,425,110 to Spitz; U.S. Patent 5,377,280 to Nakayama; U.S. Patent 5,432,948 to Davis et al.; U.S. Patent 5,434,777 to Luciw; U.S. Patent 5,440,663 to

Moese et al.; U.S. Patent 5,457,770 to Miyazawa; U.S. Patent 5,490,061 to Tolin et al.; U.S. Patent 5,515,475 to Gupta et al.; U.S. Patent 5,526,463 to Gillick et al.; and U.S. Patent 5,548,507 to Martino et al.

None of these earlier systems provide a satisfactory system and method for multicultural name searching. Thus, the inventors believe there is a need for an improved system and method for searching name-based records and for determining the degree of similarity between two name representations.

SUMMARY OF THE INVENTION

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Therefore, it is a general object of the present invention to provide a name searching system architecture with multiple processing options, which automatically selects and uses an appropriate cultural-specific set of algorithms to search for database names and evaluate their proximity to a query name.

15

Another broad object of the invention is to provide a system and method implementing multi-algorithm name searching strategies, where search processing differs based on one or more of: culture, ethnicity, distribution, and language.

20

Another more specific object of the invention is to provide an improved system and method for conducting searches using a combination of n-gram distribution and positional properties to identify matches.

25

A further object of the invention is to provide an improved cultural name classifier which leads to application of an appropriate set of name-regularizing linguistic rules that generate a standardized name based on stored cultural intelligence.

Yet another object of the invention is to provide an improved name classifier, incorporating a multi-step process, including preemptive lists, linguistic rules, n-gram analysis, and additional algorithms.

A further object of the invention is to provide an improved name searching system, incorporating segment-level pre-processing. Segmentation rules and syllabic stress rules contribute to a determination of where "white space" should appear in the name. Algorithms determine which graphemes are mapped to which phonemes
5 (based on phonological, historical, and morphological principles).

Another significant object of the invention is to provide a name searching system and method incorporating an innovative key-searching system based on the International Phonetic Alphabet (IPA). This technique converts the query name to a plurality of IPA representations, which are then used to select matching keys in a first
10 pass through the database.

A further object of the invention is to provide a name searching system and method that selectively uses sets of generic and language-specific spelling rules to infer possible phonological manifestations for personal names. A unique aspect of the comparison algorithm derives a scored match based on atomic phonological
15 features.

Additional objects and advantages of the invention will be apparent upon review of the specification, including its drawings and appendices.

The present invention provides an improved automatic data processing system for searching names and an improved process for effectively searching and retrieving
20 personal names in a database. It also provides a mechanism for a user to determine the distance between two names, i.e., how closely two personal names match.

In one aspect of the invention, "fuzzy logic" name searching and matching technology is provided to locate a target database record despite a lack of absolute identity between a query name and a record name.

25 In one preferred embodiment, a complete automated name searching system is provided, incorporating various advantageous features of the present invention. The automated search system incorporates an automatic name classifier, a multi-path architecture in which different algorithms are applied based on cultural identity of the

name, name variant generation, query regularization and expansion, compensation for transpositions, affixes, and inversions, and sorting and filtering of output. The name classifier incorporates a preemptive list, analysis of morphological elements, length, and linguistic rules. The name regularizer produces a computer recognized form
5 (character based computational representation) rather than a human recognizable form of the name. The software design uses a pronunciation equivalent (e.g. IPA) representation and language specific rules to generate name searching keys, which are used in a first pass to eliminate database entries which are obviously not matches for the name of interest.

10 In another preferred embodiment, the inventive search methodologies are implemented as Application Program Interfaces (APIs) that can be integrated into an existing program application or can be used to provide the foundation for a new program application that requires name matching capabilities. In API form, the features of the present invention may be selectively used in various combinations
15 depending on the requirements of the particular application. A callable set of library routines include an intelligent preprocessor and a name evaluator that produces a score comparing a query name and database name, based on a variety of user-adjustable parameters. The user-controlled parameters permit tuning of the search methodologies for specific custom applications, so as to achieve desired levels of
20 precision and recall for name searching in widely varying operational settings.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a block diagram of the structure of an improved name searching
25 and comparison system according to the present invention;

Figure 2 is a block diagram of the structure of a set of name searching tools which may be provided as one or more Application Programming Interfaces (APIs) for use in developing custom applications;

Figure 3 is a block diagram showing the structure of a name ethnicity classifier according to the present invention;

Figure 4 is a schematic diagram showing the structure and operation of a preferred embodiment of a linguistically informed decision processor used in the classifier of Figure 3;

Figure 5 shows a sample structure for data tables used in the linguistically informed decision processor of Figure 4;

Figure 6 is a flowchart showing a preferred embodiment of an Hispanic name searching process used in the invention;

Figure 7 is a flowchart showing preferred operation of an Hispanic name preprocessor in the process of Figure 6; and

Figure 8 is a flowchart showing preferred operation of an Hispanic search engine in the process of Figure 6.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Figure 1 shows a multi-algorithmic name search system 100 according to a first preferred embodiment of the present invention, in block schematic form. In this embodiment, system 100 sequentially performs three basic processes. First, system 100 selects a search strategy based on the cultural origin, distribution, language or ethnicity of the name in question and pre-processes the name to break it into its component parts for processing. Second, a subset of the available database records is selected, based on a culture-relevant key-indexing strategy. The objective of this subsetting process is to select a set of keys that are likely matches for the name in question. Finally, the records selected in the second process are subjected to a similarity measurement, using a complex algorithm tailored according to the selected search strategy, to evaluate and rank-order potential matches. Thus, system 100 adopts a search strategy that is specific to the ethnicity or cultural origin of the name

to be matched and implements that strategy by performing a two-pass search with algorithms particularly adapted for searching those names.

Referring now to Figure 1, system 100 comprises name classifier module 102, variant generation module 104, name reference library 106, name retrieval technology
5 processing module 108, retrieval module 110, and precision filter and sorting module 112. System 100 has an input query 101 and an output 114.

Processing of a query begins with evaluation of the searched name by name classifier module 102. Name classifier module 102 evaluates spelling, word segmentation, titles, prefixes and suffixes, and other identifiable features of the name to determine
10 whether it falls into one of a predetermined set of identified cultural origins, including, for example, Chinese, Arabic, Hispanic, or Russian. Anglo names and names which do not fall into one of the predetermined set of special-case cultures are classified as "other" and processed according to a generic cultural algorithm.

Appropriate pre-processing is also performed to segment the name appropriately
15 (standardize the handling of spacing between name segments and the order of the segments) and identify apparent surnames, given names, honorifics, etc., that are part of the input name. The operation of the name classifier in this regard is unique and inventive. To determine the type of name, name classifier module 102 may use one or more of the following, depending on the observed characteristics of the name in
20 question: a list of names which occur with high frequency in various cultures (used to preemptively type common names without extensive algorithmic processing), culture-specific linguistic rules in the form of a Titles, Affixes, and Qualifiers (TAQ) lookup table, n-gram based name typing, and name length. N-gram name typing according to the present invention may be performed as a digraph, trigraph or other n-gram
25 analysis where both positional and distributional properties of the n-grams (e.g., digraphs and trigraphs) are used in the algorithm for making the type determination. Name classifier module 102 preferably operates according to the software design description in Appendix A, which forms a part of this specification.

Figure 3 shows the software modules incorporated in name classifier module 102 in more detail. Name classifier module 102 incorporates a name classifier control module 302, a linguistically informed decision (LID) processor 304, a digraph distribution processor 306, and a final decision processor 308. Digraph distribution processor 306 incorporates digraph information processor 312 and digraph intermediate decision processor 314.

LID processor 304 incorporates linguistic information aggregator 308 and LID intermediate decision processor 310. LID aggregator 308 includes high frequency name processor 316, morphological processor 318, title/affix/qualifier (TAQ) processor 320, and ngram processor 322.

LID processor 304 accumulates and weighs factors from multiple knowledge sources to determine whether there is sufficient evidence to identify the input name as belonging to a particular ethnicity, e.g. Hispanic, Arabic, etc. Linguistic information aggregator 308 performs linguistic analysis, gathering information and scoring for the input name. In the preferred embodiment, linguistic information aggregator 308 generates scores from four data sources. High frequency name processor 316 accesses a high frequency name data store of names that occur frequently in particular cultures. A match with one of these names causes aggregator 308 to retrieve and record the culture associated with the name and a confidence score associated with that name. TAQ processor 320 breaks the name into particles and makes use of the information contained in those particles to match a list of titles, affixes, and qualifiers commonly used in names of various cultures, to help determine cultural affinity. The input name is segmented based on spaces in the name, and for each segment present in the input name, TAQ processor 320 determines whether that segment is a particle present in a TAQ data store. If so, TAQ processor 320 retrieves and records the culture, name field, and confidence score associated with that TAQ particle.

Morphological processor 318 processes morphological elements such as “-ovich” which suggest a particular cultural affinity. Morphological processor 318

determines whether morphemes in a morpheme data store are present in the input name by searching for matching substrings of the name segments in the input name. For each morpheme found in the input name, morphological processor 318 records the morpheme found, the culture, name field, and confidence level associated with
5 that morpheme.

N-gram processor 322 searches the input name for strings of letters that occur with statistical significance in names with a given cultural affinity. For each n-gram present in an associated n-gram data store, n-gram processor 322 determines whether that n-gram is present in the input name. When a match is found, the processor
10 records the n-gram found, the culture, name field, and score associated with that n-gram.

To avoid conflict between treatment of name segments and particles by the various processing modules operating on the input name, an order of precedence is established for processing. The order of precedence is preferably TAQ particle,
15 morpheme, and then n-gram. That is, if a string of letters is identified as a TAQ particle, that string or any substring cannot also be identified as a morpheme or n-gram for that culture. If a string is identified as a morpheme, that string and its substrings cannot be considered as part of an n-gram for that culture. Locating the name among the high frequency names for a culture does not preclude morpheme or
20 n-grams processing of the high frequency name, but if the confidence level in the high frequency match is high, further processing may not be necessary.

Figure 4 is a schematic diagram showing the structure and operation of linguistically informed decision processor 304 in more detail. Linguistic information aggregator 308 collects necessary information from the input name 402 and name
25 reference library 106, which includes the tables and other data used by linguistic information aggregator 308 (including high frequency name processor 316, morphological processor 318, title/affix/qualifier (TAQ) processor 320, and ngram

processor 322, all shown in Figure 3). A sample structure for these tables is shown in Figure 5.

As shown in Figure 4, processed information from linguistic information aggregator 308 passes to LID intermediate decision processor 310, where it is
5 processed and the results passed to digraph distribution processor 306 or to final decision processor 308 (shown in Figure 3).

LID intermediate decision processor 310 makes a preliminary decision about the cultural affinity of the name, based on the scoring information gathered by linguistic information aggregator 308. Processor 310 determines whether enough
10 linguistic information has been gathered by LIA 308 to confidently determine that the input name belongs to one of the cultures identified by the system. Processor 310 accepts as input one aggregate LID score for each culture, as well as an aggregate LID score for “other.” For each score, processor 310 compares the score to a LID threshold for the appropriate culture. If the LID score for a culture exceeds the
15 threshold for that culture, processor 310 returns a value of “true” for the indicated culture. A “true” value for a culture indicates that enough evidence has been gathered to confidently identify the name as belonging to that culture. A “false” value for a culture indicates that not enough evidence has been accumulated to suggest that the name belongs to that culture. Alternatively, processor 310 may return a value for
20 each culture equal to the LID score minus the LID threshold for that culture; in this case, negative values correspond to “false” and positive values correspond to a “true” indication.

Names which are strongly associated with one culture based on the output of LID intermediate decision processor 310 will not be processed further to identify their
25 cultural origin, i.e. digraph and other analysis will be skipped.

Assuming the name has not been definitely identified, the surname portion is processed by digraph distribution processor 306. Based on a statistical model derived from digraph distribution statistics for names within various cultures, processor 306

computes a likelihood that the input name has a particular cultural origin. The information gathered from LID and digraph processing is combined, along with any other available information on the person (such as country of birth), in final decision processor 308. The available factors are weighted according to their confidence level to maximize the likelihood of an accurate ethnic origin evaluation. The result is an output indicating the likely classification of the name.

Following name typing, the system executes name variant generation module 104, which pre-processes the names according to culture-specific rules to generate query regularizations, based on algorithms adapted specifically for the cultural origin of the name in question, as determined by the name classifier. Variant generation module 104 also generates query expansions, i.e., identifies expected variants of the name to enhance matching capability.

As noted above, preferably, specialized processing is provided for each of a variety of ethnic name origins. Appendices B and C, which form a part of this specification, are software design descriptions for preprocessing and search algorithms for Arabic and Hispanic type names, respectively. As an example of such processing, the Hispanic processing algorithm referenced in Appendix C will now be discussed in some detail. Figure 6 is a flowchart showing a preferred embodiment of Hispanic name processing used in the present invention. The process begins in name classification in block 602 when the input name is identified as an Hispanic name. The name is then fed to Hispanic name preprocessor in block 604, and to the Hispanic search engine in block 606, which searches database 608. Then, an Hispanic sorter and filter are applied in block 610. The process produces sorted Hispanic search results as an output in block 612.

Figure 7 is an expanded flowchart showing an operational process of the Hispanic name preprocessor, referenced in Block 604 of Figure 6. The Hispanic name processor prepares a name which has been identified as Hispanic for processing by the Hispanic search engine by identifying name segments and determining their

disposition, manipulating the name segments to generate additional query formats, determining name length and record gender, specifying the frequency character of each name segment, and generating search keys.

The process begins with a name length determiner operation on Block 702, which determines the length of the surname. Next, the name is processed by a Hispanic surname segmenter in block 704. This operation divides surnames exceeding a predetermined length (e.g. nine characters) into component segments to compensate for the fact that fixed size data fields often do not accommodate an entire Hispanic surname, leading data entry operators to conjoin name segments in a single field. Then, additional query records are generated for the separated segments and alias records are added for the separated surname segments. This process accesses a high frequency surname type data store to identify surname portions that should be separated. For example, this operation would separate "RAMIREZDELAPAZ" in the surname field into RAMIREZ DELA PAZ and "PEREZDELOPEZ" into PEREZ DE LOPEZ by finding the known surname components DE and DELA.

An Hispanic TAQ processor operates in Block 706 to scan the given name and surname for known titles, affixes, and qualifiers which do not have useful search value. TAQ elements such as DEL, DELA, DE, and SAN are then flagged to be either deleted, disregarded during matching operations, or removed. Delete means that the segment is disregarded for the remainder of the name search process and contributes marginal information to the filter process, but is not actually removed from the record. Disregard means the segment is disregarded in the remainder of the name search process but contributes to evaluation in the filter process. Remove means that a segment conjoined to the name stem is removed from the stem, and then flagged to be either deleted or disregarded as appropriate.

The Hispanic segment positioner in Block 708 operates to move any high frequency surname found in the given name field into the surname field. The name is then formatted by an Hispanic name formatter in Block 710 to generate additional

name formats in case the record has more than two surname stems. Next, the name is processed by a segment position identifier in Block 712 to identify the relative position of each of the surname and given name stems. Hispanic names generally contain more than one stem in the given name and surname. In a given name, the leftmost name stem generally indicates gender; in a surname, the leftmost stem is the family name and the other stems are differentiators. Therefore, it is important to identify names that are out of position so that this may be corrected and their relevance appropriately evaluated during the search.

Next, the likely gender of the name is identified by a Hispanic gender identifier in Block 714. The gender identifier attempts to predict gender based on the gender marker of the leftmost given name segment, but may also rely on (or override the apparent gender) based on additional information such as a gender indicated as associated with the search name.

The name is processed by a frequency path director in Block 716 which directs a record for high frequency processing or low frequency processing depending on the presence or absence of high frequency surnames in the input name string.

In Figure 8, the flow of operation of the Hispanic search engine 606 is shown in more detail. As described above, the frequency path director operates in block 716 and then determines in block 802 whether the surname contains all high frequency segments. If so, control passes to the high frequency processor in block 804. If not, control passes to the low frequency processor in block 806.

The high frequency processor operation begins in Block 808 with generation of keys for the given names. Then, in block 810, records are retrieved according to a high frequency surname matrix and the given name keys. Control then passes to filter and sorter 610 (shown in Fig. 5).

Low frequency processor operation begins in Block 812 where each low frequency surname segment is examined to identify related high frequency and low frequency surnames, in blocks 814 and 816. This processing loop continues until

names related to the segments have been identified. A “relationship” to a high frequency surname is determined by digraph comparison. If the number of identical digraphs exceeds a specified threshold, the surname is deemed to be a mere spelling variant of the similar high frequency surname. If the surnames all relate to known
5 high frequency names, control passes through block 818 to block 808 in the high frequency processor. If the surnames have mixed high and low frequency relationships, control passes through block 820 to block 808. If all surnames have low frequency, control passes through block 822 to block 824. In block 824, a year of birth range is determined for the name. Records are then retrieved based on name
10 content (same or different), position of the name segments, the year of birth range, the record gender, and possibly additional restrictions based on the given name.

Referring again to Figure 1, the typing and processing of names within the system is preferably informed by cultural information encoded in a name reference library 106. The factors included in name reference library 106 are identified in the
15 database structures shown in Appendix D, which forms a part of this specification. Appendix E, which also forms a part of this specification, provides additional flowcharts and software descriptions for a preferred embodiment of name classifier module 102 and the Hispanic name search algorithms.

Significantly, as part of name regularization for the purpose of generating an
20 index key for a first pass through the database, the present invention applies the International Phonetic Alphabet to generate index keys, rather than using a Soundex or another conventional key. The IPA algorithm, according to the present invention, generates keys by segmenting (e.g. syllabifying) the name in question and converting it to IPA representation. In this manner, the system generates a key or set of keys
25 which identify a set of pronounced equivalents, rather than generating a key by letter similarity, as in the traditional Soundex method. Significantly, the system generates multiple keys in IPA representation for most names, since most names have multiple possible pronunciations. The system determines multiple possible pronunciations of

the name, where applicable, and associates an IPA key with each possible pronunciation. Then, records matching any of the IPA keys for a name are then selected for further consideration and comparison.

To program the IPA conversion, a rule set is generated that relates spelling to sounds. A different rule set is preferably generated for each ethnic origin of name, since pronunciations of apparently similar names may vary significantly based on origin. To generate a rule set, preferably a database of single name elements is obtained, such as a census list. The names in the list may be then manually tagged for their ethnic origin. A variety of sources may then be used to determine possible pronunciations. These sources include native speaker knowledge and textual information. The rules are written broadly so that the most plausible pronunciations will be captured with some certainty. Rules for languages not written in roman characters will necessarily take into account transcription variations. The rules are written in a predetermined notation which can be processed effectively by the system. A typical rule format is:

sc/ anything__ le → [sk?]

which is interpreted to mean that the letters sc preceded by anything and followed by the letters le can be pronounced as [s] or [sk], e.g. Muscle and Mosclin. The rules should also be written to account for predictable articulatory processes such as movement of the soft palate, which might lead to a slightly different pronunciation.

As an example of the advantages of matching on IPA, consider a query on the name Lee. Converted to the IPA string [li], exact matches with numerous spelling variants are automatic, including Leigh and Li. Typical prior-art character based matches will fail to retrieve Leigh or Li, since the percentage of character overlap is minimal. Conversely, a standard index matching system such as Soundex will categorize Lee and Li identically, but will still miss Leigh, given the presense of a salient letter (g), and will retrieve a large number of names of low relevance,

including Lu, Liao, Low, Louie, Lahoya, and Lehew. The IPA analysis process is further described in Appendix F, which forms a part of this specification.

While the IPA key generation, according to the present invention, provides a significant functional advantage in many cases, it should be noted that it may not be desirable to apply IPA processing to all classes of names. For example, the inventors have found that names of Arabic and Chinese origin are better processed using custom regularization algorithms rather than by the generalized IPA approach, since names acknowledged as similar in these cultures are often quite distinct phonologically.

Following regularization and expansion, name retrieval technology processing module 108 is applied. These algorithms facilitate more complete retrieval, by compensating for transpositions; deleting affixes, where appropriate; and compensating for inverted surnames, deleted surnames and nicknames. Each of these algorithms uses stored information defining naming conventions for a particular culture in the manner described herein.

Next, retrieval module 110 is applied to the results of the preprocessing performed by name classifier 102, variant generation module 104, and retrieval technology module 108. Retrieval module 110 retrieves records matching the keys (IPA or other culture-specific keys) generated by the operation of the first three modules. These records are then provided to precision filter and sorting module 112, which compares each record to the query name to determine a similarity/equivalence measurement defining the "distance" between the query name and the record name. Precision filter and sorting module 112 may perform segment position comparisons, character comparisons, phonological similarity comparisons, structural similarity comparisons, phono-feature-distance comparisons, and/or n-gram comparisons.

The output 114 of precision filter and sorting module 112 is then provided to the user. The output preferably consists of a rank-ordered list of records in descending order of likelihood of matching the query name.

One preferred embodiment implementing many desirable features of the system shown in Figure 1 is a standalone database search and retrieval program. In addition to including the features described above (and in further detail in the Appendices), this embodiment of the invention may preferably be implemented
5 according to the disclosure in Appendices G, H, I, and J, which form a part of this specification and are: a narrative description, technical plan, acceptance test, and source code listing respectively for a system demonstrating numerous features of the present invention.

Another desirable implementation of the invention is as a set of name
10 searching tools which may be provided as one or more Application Programming Interfaces (APIs) for use in developing custom database management and searching applications. A flowchart for one embodiment of an API embodiment is shown in Figure 2. Further detail of the implementation of this embodiment is provided in Appendices K (software design description), L (default parameters), M (developer's
15 documentation) and N (source code listing), each of which forms a part of this specification. Operation of elements in the embodiment of Figure 2 are generally similar to like operational features described with reference to Figure 1.

As shown in Figure 2, a preferred embodiment of an API-based name
searching system 200 comprises name extraction tools 202 and name comparison
20 tools 212. Name extraction tools 202 comprise Intelligent Search Data Generator (ISDG) 204 and associated intelligent search database 203, intelligent pre-processor 205, name classifier 206, name regularizer 208, and phonetic key generator 210. Name comparison tools 212 comprise name evaluator 214 and results manager 216, with scored name data 215 as an intermediate step. The system receives as an input
25 name data 201, and provides ordered similar data 218 as an output from name comparison tools 212.

The output of ISDG 204 is search data 220, which is provided to data update and data access applications 222 and from there to the name comparison tools 212 as

query and candidate search data 226. A names database with intelligent search data 224 is provided in association with data update and data access applications 222.

The embodiment of Figure 2, like that described previously with reference to Figure 1, implements a multifaceted approach to multicultural name searching. For example, in the Hispanic culture, an individual typically has a compound family name (e.g., - Arantxa SANCHEZ VICARIO), the first of which (SANCHEZ) provides the more valuable identifying information. In contrast, although Portuguese names also typically have compound family names and look very similar to Hispanic names (e.g., Maria FERREIRA DOS SANTOS), the second family name (DOS SANTOS) provides the more valuable identifying information. If a single solution were proposed where, for example, the Last Name is considered the most important name, as in American names, Hispanic names would not be adequately accommodated.

The disclosed embodiment automatically applies whatever resources will adequately address the problem at hand, whether the variation is cross-cultural or arises from spelling variation, from transcription from other writing systems, from sound similarity, or from missing or additional information.

In operation, the user system supplies both a query name and a database name to the system. The system employs linguistic intelligence to separate the name into its integral components in intelligent pre-processor 205. Further linguistic intelligence is employed to compare the two names in name evaluator 214. The result of the comparison is a scored database name, scored name data 215. The scored name is passed to results manager 216, which collects and orders the names that are scored against a single query name. The final output is an ordered set of scored database names, ordered similar data 218.

The cornerstone of this embodiment is a programming library (functions and classes) that enables a developer to add fuzzy logic personal name searching to an application. For example, the developer may perform operations such as "Give me the 10 closest names to 'James Slesinger' from my database", or "Give me all the

names from my database that match 'John Wong' with a degree of confidence of 0.9" or "Tell me the degree of similarity between 'Paul Vanesann' and 'P Vanlesann'". The system incorporates and uses a variety of linguistic techniques to achieve these results, in the manner described previously with respect to a standalone name
5 searching system.

Users can enhance the functionality of the APIs by incorporating their business rules and data into the name comparison process. This embodiment provides fine granularity when comparing names. That is, names are scored and ranked more precisely, which is important when dealing with large volumes of data. The
10 technology incorporates numerous parameters (to customize the user's search comparison).

From the user/developer perspective, the name search system is quite simple to utilize. A typical name search requires the use of just four classes (SNQueryParms, SNQueryNameData, SNEvalNameData, and SNResultsList). In addition, it is
15 important to note that the extra code required to integrate this name search technology is minimal.

The API name search interface is simplified by the fact that it makes no assumptions about the data and how it is stored. The user provides the API with the query name as well as the names from the database as input 201. The library routine
20 then presents names which are likely matches, and qualifies their degree of similarity. From the perspective of the developer, the tool is straightforward and easy to integrate.

Searches via the API embodiment are configurable by adjusting any of 43 parameters (see Appendix L for defaults). Each parameter controls some aspect of
25 how two names are evaluated when determining if they are similar. Some of the more basic parameters set thresholds for determining how close two names must be to be considered a match. Other parameters control more complex processing, such as how

to handle multi-segment names. In general, only a small set of parameters need to be adjusted by the developer, because reasonable defaults exist for each one.

The API embodiment also provides pre-defined packages of parameters, each tailored to a particular culture or ethnicity. For example, Hispanic names have certain characteristics such as compound surnames (e.g., TORRES DE LA CRUZ) that can cause problems when searching for Hispanic names using conventional, Anglo-centric methods. The Hispanic parameters package contains settings that address Hispanic-specific name issues. New cultural/ethnic parameter packages can be established and existing packages can be modified as desired.

The preferred embodiment uses a C++ object framework, so that users/developers can extend the existing product functionality to incorporate additional data elements in the scoring algorithm or create evaluation methods specific to their business or application needs. For example, a database might contain a Social Security number, in addition to given name and surname. Although the name search technology only compares name data, a developer can take advantage of class inheritance (a feature of C++), and easily subclass the program's SNEvalNameData and SNQueryNameData objects to include Social Security numbers or any other desired data element(s). These data elements can then be used in the methods that score evaluation names and determine which evaluation names are matches. In other words, record matching can be performed using name data in conjunction with other available data element information.

Users/Developers can also provide custom methods for determining if an evaluation name matches a query name or not. The default method compares the average of the given name score and surname score to a user/developer supplied threshold value. However, a more complex method may be desired. For example, the business rules of an application might dictate that a name cannot be considered a match unless either the surname or given name is an exact match. By overriding the

default method, the developer can easily implement this logic in just a few lines of code.

The functions provided in the API embodiment will now be described in more detail. The available functions include comparing a query name with one or more
5 candidate names to produce an ordered list of candidate names with the highest probability of representing the same named person. This functionality is referenced as the name comparison tools 212. The basic name checking tool employs multiple evaluation techniques to evaluate and score two names. The name checking tool incorporates information regarding variations in spelling, discrepancy in the number
10 of name segments (amount of information included), exclusion of expected information, and positional information to establish a name score, which indicates the probability that the two names represent the same individual. The tool is controlled by a set of configurable parameters. The tool also manages and produces an ordered or unordered list of candidate names with the highest probability of representing the
15 same named person, based on the developer defined criteria for establishing a set of results. Various culture specific callable modules are available as extensions to the name check tool, including a name classifier that culturally classifies name data, a name regularizer that levels variations in name data to a single representation, and a phonetic name key that represents name data based on phonetic similarity. Again,
20 each of these tools and modules incorporates the methods and technology described above with reference to Figures 1 and 3-8.

The program also generates and stores intelligent search data for use in extracting relevant subsets of data from large data bases for further evaluation. These mechanisms will facilitate more efficient name searching while ensuring complete
25 and accurate results. This functionality is referenced as the Name Extraction Tool(s). The disclosed embodiment provides users/developers with the capability to compare two names to determine the probability that they both represent the same named individual or to compare a single query name with a set of candidate names to

determine which candidate names are most likely to represent the same named individual.

When a set of candidate names is evaluated, the APIs enable the user/developer to define the criteria for producing their own ordered list of results.

- 5 The criteria for defining an ordered list of results include the following: the top X candidate names (i.e., the X candidate names scoring the highest probability that they represent the same named individual; e.g., the top ten candidate names); all candidate names whose name score exceeds a pre-defined name threshold (e.g., if the threshold = 0, all candidate names will be returned in an ordered list); or the top X candidate
10 names whose name score exceeds a pre-defined name threshold.

- Name comparison tools 212 include a name evaluator 214, which employs multiple evaluation techniques to evaluate and score two names. Name evaluator 214 incorporates information regarding variations in spelling, inclusion of additional information, exclusion of expected information, and positional information in order to
15 establish a name score, which indicates the probability that the two names represent the same individual. Name evaluator 214 is controlled by a set of configurable parameters. Results manager 216 uses the intermediate scoring information provided by name evaluator 214 to manage and produce an ordered list of candidate names with the highest probability of representing the same named person, based on the
20 developer-defined criteria for establishing the results.

- Name extraction tools 202 include an Intelligent Search Data Generator (ISDG) 204 which generates one or more search data values that facilitate extraction of relevant information from a data base for further comparative analysis. This tool is an important component of any search system that must search large volumes of data
25 to locate similar name data, to the extent that it is not feasible to retrieve and evaluate every name record in a data base to determine its relevance to a query name. ISDG 204 provides a motivated method for retrieving all relevant information from a data base while reducing the amount of non-relevant information retrieved. This tool can

provide significant performance improvements while also ensuring an accurate and complete name search. Various culture-specific tools are available as extensions to ISDG 204 to address specific issues such as the cultural classification of name data, performed by name classifier 206; leveling of variations in name data to a single
5 representation, performed by name regularizer 208; and the representation of name data based on phonetic similarity, performed by phonetic key generator 210.

Thus, there has been disclosed an improved system and method, in multiple embodiments, for searching personal name databases, with maximum simplicity and ease of integration, maximum flexibility, and maximum extensibility.

100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282 283 284 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299 300 301 302 303 304 305 306 307 308 309 310 311 312 313 314 315 316 317 318 319 320 321 322 323 324 325 326 327 328 329 330 331 332 333 334 335 336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 377 378 379 380 381 382 383 384 385 386 387 388 389 390 391 392 393 394 395 396 397 398 399 400 401 402 403 404 405 406 407 408 409 410 411 412 413 414 415 416 417 418 419 420 421 422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446 447 448 449 450 451 452 453 454 455 456 457 458 459 460 461 462 463 464 465 466 467 468 469 470 471 472 473 474 475 476 477 478 479 480 481 482 483 484 485 486 487 488 489 490 491 492 493 494 495 496 497 498 499 500 501 502 503 504 505 506 507 508 509 510 511 512 513 514 515 516 517 518 519 520 521 522 523 524 525 526 527 528 529 530 531 532 533 534 535 536 537 538 539 540 541 542 543 544 545 546 547 548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 567 568 569 570 571 572 573 574 575 576 577 578 579 580 581 582 583 584 585 586 587 588 589 590 591 592 593 594 595 596 597 598 599 600 601 602 603 604 605 606 607 608 609 610 611 612 613 614 615 616 617 618 619 620 621 622 623 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649 650 651 652 653 654 655 656 657 658 659 660 661 662 663 664 665 666 667 668 669 670 671 672 673 674 675 676 677 678 679 680 681 682 683 684 685 686 687 688 689 690 691 692 693 694 695 696 697 698 699 700 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725 726 727 728 729 730 731 732 733 734 735 736 737 738 739 740 741 742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782 783 784 785 786 787 788 789 790 791 792 793 794 795 796 797 798 799 800 801 802 803 804 805 806 807 808 809 810 811 812 813 814 815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 830 831 832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917 918 919 920 921 922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939 940 941 942 943 944 945 946 947 948 949 950 951 952 953 954 955 956 957 958 959 960 961 962 963 964 965 966 967 968 969 970 971 972 973 974 975 976 977 978 979 980 981 982 983 984 985 986 987 988 989 990 991 992 993 994 995 996 997 998 999 1000

We claim:

1. A computerized method of comparing proper names, comprising the
5 steps of:
 - obtaining data representing a first proper name and a second proper name;
 - converting the data representing said first and second names to first and
second pronunciation equivalent phonetic alphabet representations, equivalent to at
least respective portions of said first and second names;
 - 10 comparing said first and second pronunciation equivalent phonetic alphabet
representations to determine a likelihood that said first and second names represent
the same entity; and
 - producing a signal indicating said likelihood that said first and second names
represent the same entity.
- 15 2. The method of claim 1 wherein said data representing first proper
name and said second proper name is obtained as a string of characters.
3. The method of claim 1 wherein said phonetic alphabet representation
20 is an International Phonetic Alphabet representation.
4. The method of claim 1 including the further step of processing at least
one of said first and second names to assign to said name one of a set of categories of
likely ethnic origin of said name,
25 wherein said comparison of said first and second phonetic alphabet representations is
performed according to an algorithm that varies depending on said assigned category
of likely ethnic origin.

5. The method of claim 4 wherein said algorithm compares different portions of said pronunciation equivalent phonetic alphabet representations depending on said assigned category of likely ethnic origin.

5

6. The method of claim 4 wherein said algorithm ignores certain portions of said pronunciation equivalent phonetic alphabet representations in the comparison, depending on said assigned category of likely ethnic origin.

10

7. A computerized method of searching a database containing records associated with a plurality of different proper names to find at least one record matching an input proper name, comprising the steps of:

converting at least a portion of the different proper names in the database to a pronunciation equivalent phonetic alphabet representation equivalent to at least a portion of said respective proper names and assigning said pronunciation equivalent phonetic alphabet representation as keys for records in said database corresponding to said different proper names;

receiving data representing the input proper name as a string of characters;

converting the data representing said input proper name using the same said pronunciation equivalent phonetic alphabet representation, equivalent to at least a portion of said input proper name;

comparing said phonetic alphabet representation of said input proper name to said record keys to determine a likelihood that said input proper name represents the entity associated with said record;

eliminating as potential matches those records for which the likelihood that said input proper name represents the entity associated with said record key falls below a predetermined threshold; and

processing the records remaining after said eliminating step as potential matches for said input proper name.

8. The method of claim 7 comprising the further step of conducting a
5 second database search pass after said eliminating step, using an algorithm which requires greater processing time for each record than said step of comparing said phonetic alphabet representation of said input proper name to said record keys, to further evaluate the likelihood that said input proper name represents the entity associated with each record.

10

9. The method of claim 7 wherein said phonetic alphabet representation is an International Phonetic Alphabet representation.

10. The method of claim 7 including the further step of processing said
15 input proper name to assign to said name one of a set of categories of likely ethnic origin of said input proper name, wherein said comparison of said phonetic alphabet representation to said record keys is performed according to an algorithm that varies depending on said assigned category of likely ethnic origin.

20

11. The method of claim 10 wherein said algorithm compares different portions of said pronunciation equivalent phonetic alphabet representation of said input proper name to said record keys, depending on said assigned category of likely ethnic origin.

25

12. The method of claim 10 wherein said algorithm ignores certain portions of said pronunciation equivalent phonetic alphabet representation of said

input proper name in the comparison, depending on said assigned category of likely ethnic origin.

13. A name processing and matching system comprising:

- 5 a database containing a plurality of proper name database entries and records associated respectively with said proper names;
- database processing means associated with said database for converting at least a portion of each of said plurality of proper name database entries using a pronunciation equivalent phonetic alphabet representation, equivalent to a respective portion of said
- 10 proper name database entries;
- input receiving means for receiving data representing an input proper name;
- phonetic processing means associated with said input receiving means for converting at least a portion of said data representing said input proper name using said pronunciation equivalent phonetic alphabet representation;
- 15 comparison means associated with said database processing means and said phonetic processing means for comparing said pronunciation equivalent phonetic alphabet representation of said input proper name to said pronunciation equivalent phonetic alphabet representations of said proper name database entries to determine a likelihood that said input proper name represents the same entity as each of said
- 20 database entries; and
- output means associated with said comparison means for eliminating as potential matches those records associated with proper name database entries for which the likelihood that said input proper name represents the entity associated with said database entry falls below a predetermined threshold, and for processing the
- 25 records remaining after said eliminating function as potential matches for said input proper name.

14. The system of claim 13 wherein said proper name database entries and said data representing said input proper name are strings of characters.

15. The system of claim 13 wherein said phonetic alphabet representation
5 is an International Phonetic Alphabet representation.

16. The system of claim 13 further comprising name classifying means for processing said input proper name to assign one of a set of categories of likely ethnic origin of said input proper name,
10 wherein said comparison means comprises variable processing means for performing said comparison of said pronunciation equivalent phonetic alphabet representation of said input proper name to said pronunciation equivalent phonetic alphabet representations of said proper name database entries according to an algorithm that varies depending on said assigned category of likely ethnic origin.

15

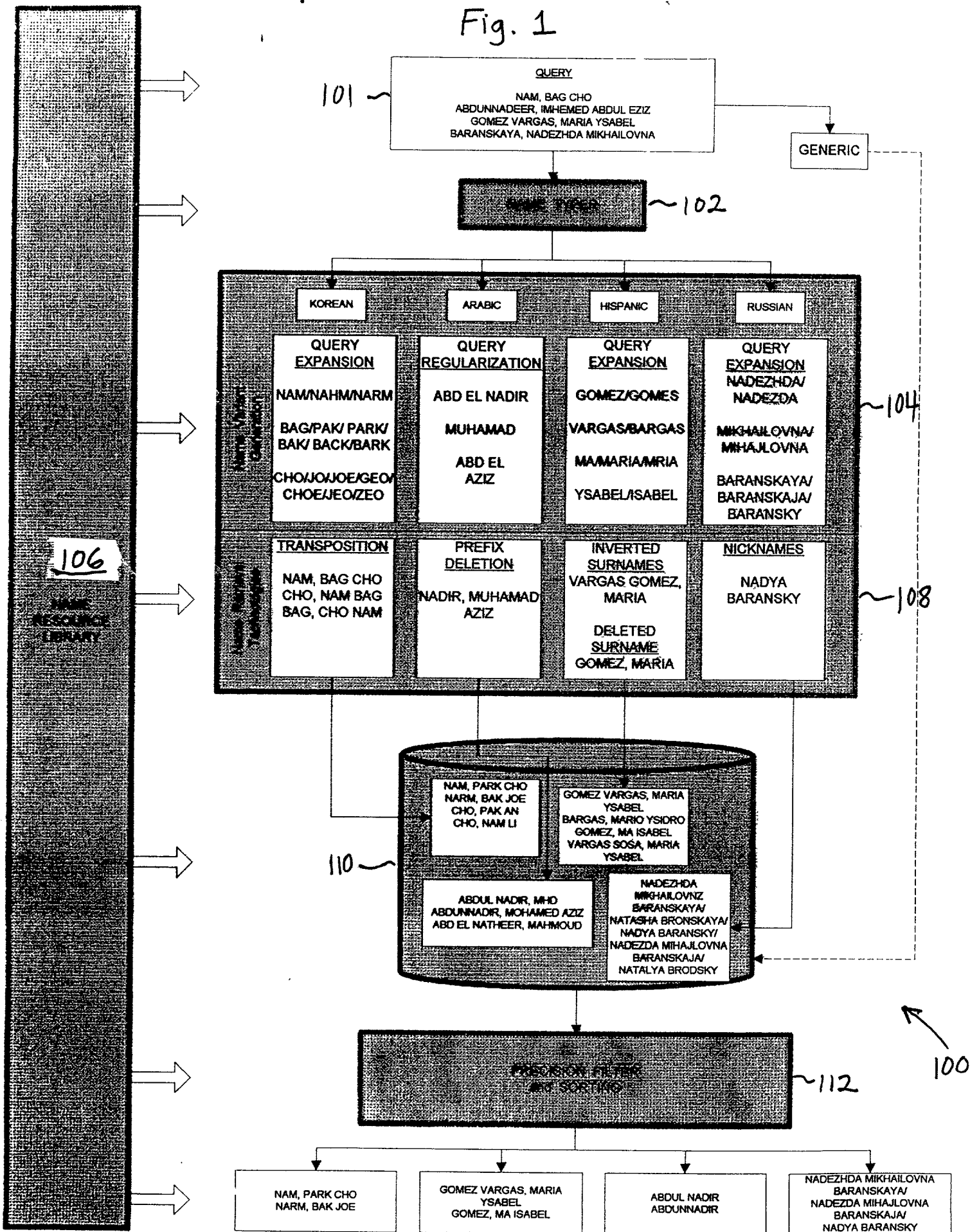
17. The system of claim 16 wherein said variable processing means compares different portions of said pronunciation equivalent phonetic alphabet representation of said input proper name to said pronunciation equivalent phonetic alphabet representations of said proper name database entries, depending on said
20 assigned category of likely ethnic origin.

18. The system of claim 16 wherein said variable processing means ignores portions of said pronunciation equivalent phonetic alphabet representation of said input proper name in the comparison, depending on said assigned category of
25 likely ethnic origin.

ABSTRACT OF THE DISCLOSURE

An automated name searching system incorporates an automatic name
5 classifier and a multi-path architecture in which different algorithms are applied based
on cultural identity of the query name. The name classifier operates with a
preemptive list, analysis of morphological elements, length, and linguistic rules. A
name regularizer produces a character based computational representation of the
name. A pronunciation equivalent representation such as an IPA language
10 representation, and language specific rules to generate name searching keys, are used
in a first pass to eliminate database entries which are obviously not matches for the
query name. The methods can also be implemented as a callable set of library
routines including an intelligent preprocessor and a name evaluator that produces a
score comparing a query name and database name, based on a variety of user-
15 adjustable parameters. The user-controlled parameters permit tuning of the search
methodologies for specific custom applications.

Fig. 1



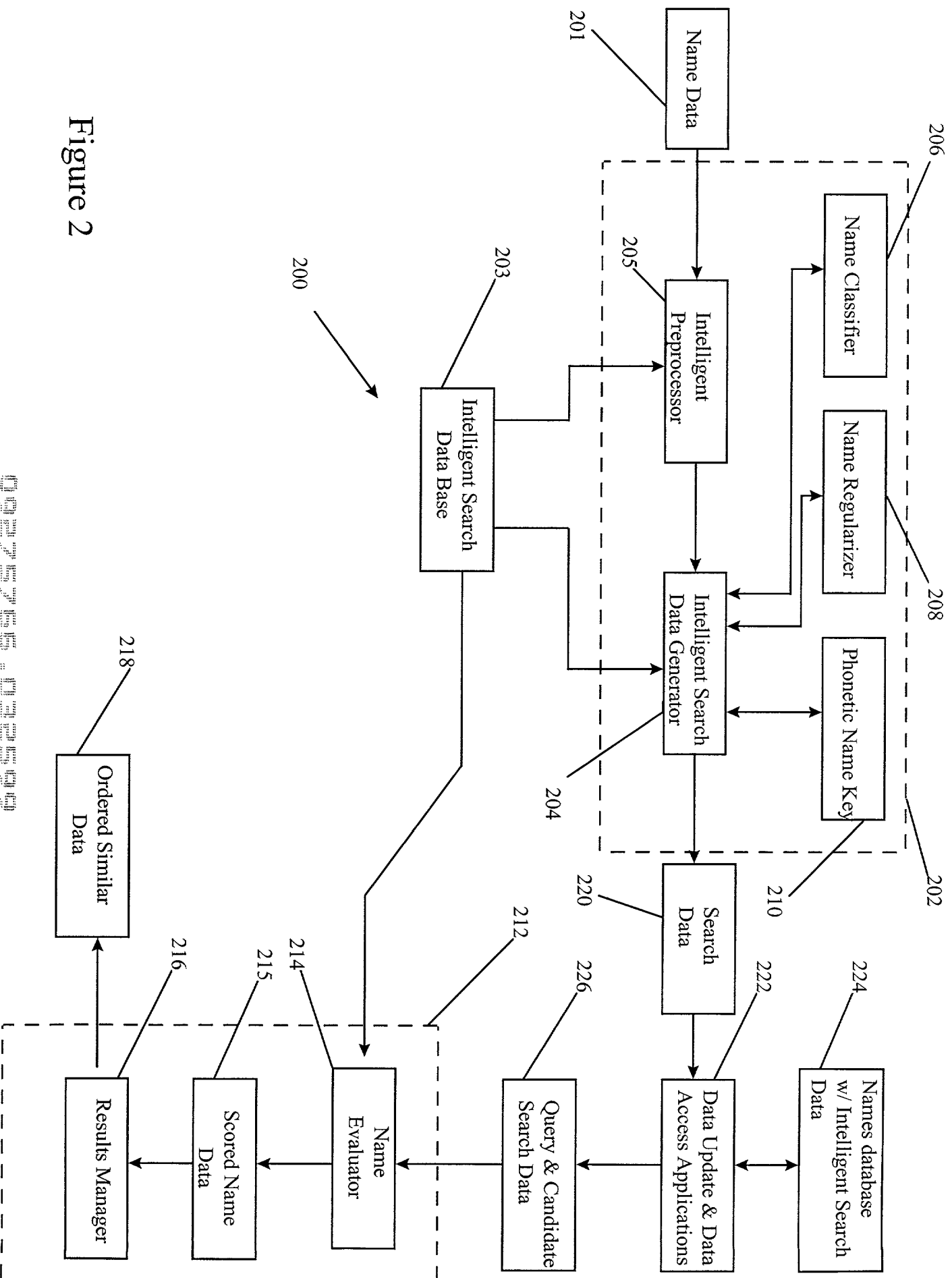


Figure 2

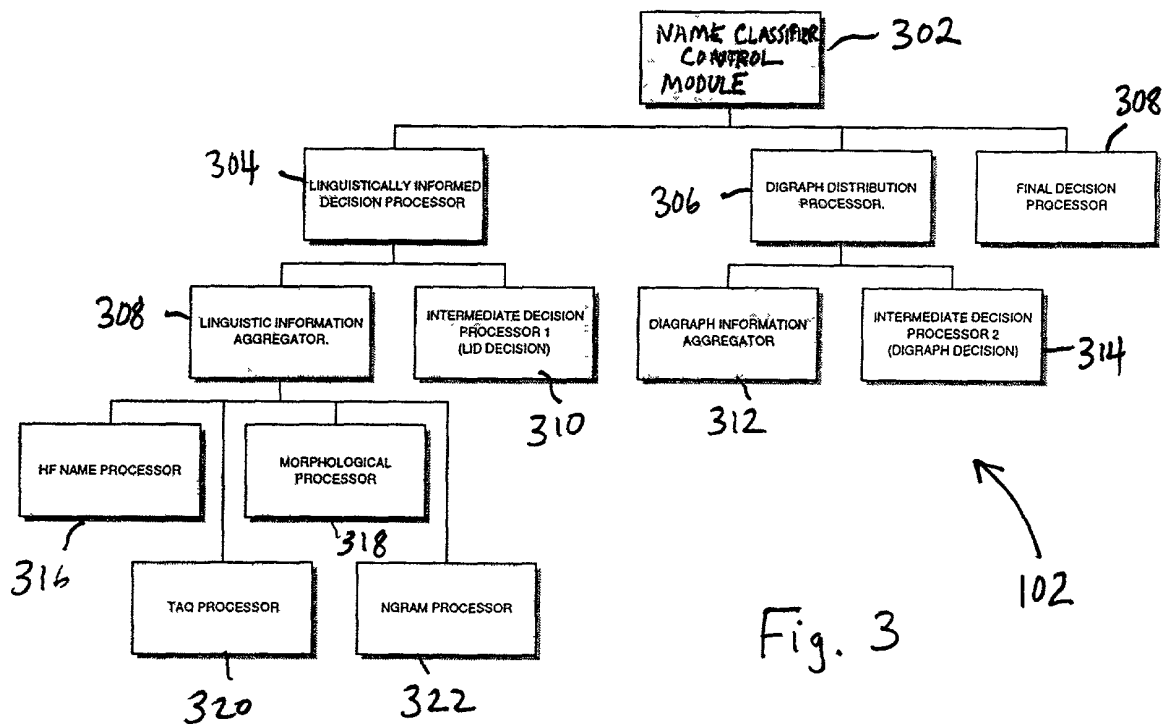
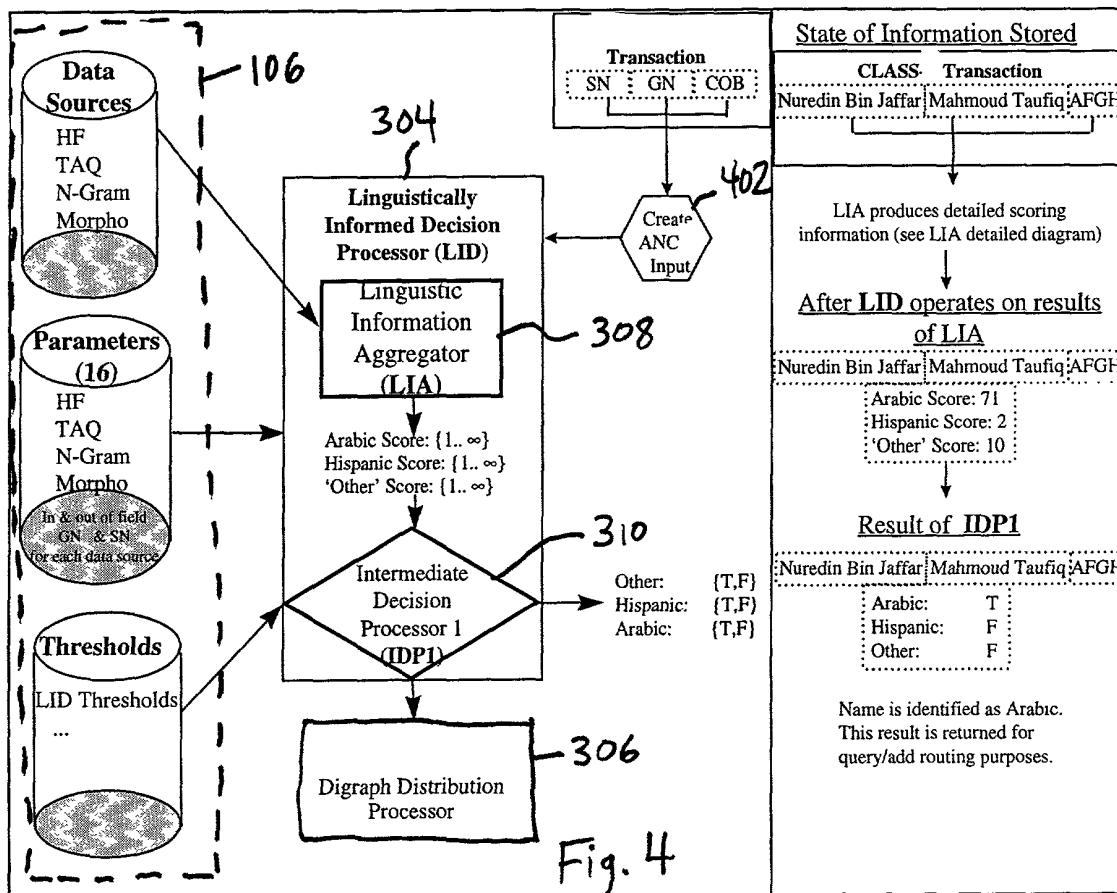


Fig. 3



LIA Data Stores

High Frequency				N-Grams			
H	S	Garcia	3	H	S	S ndez	3
H	S	Salazar	2	H	S	G far	1
H	S	Sambrano	1	A	B	P hous	1
A	B	Mahmoud	4	A	B	S fiq	2
A	B	Jaffar	2	O	S	E agio	1
O	S	Silvestri	1	O	S	I ahmo	5

Morphology				
A	B	S	addin	2
A	B	S	edin	3
A	B	S	uddin	3
O	S	P	etto	1
O	S	S	etti	2
O	S	S	ini	1

TAO			
H	S	de	1
H	S	la	1
H	S	las	1
A	B	bin	3
O	B	el	1
O	B	lo	1

Digraph Distribution Processor Data Stores

Digraphs		
A	ez	-1.0422
A	nt	22.8733
A	bd	38.7221
H	bd	1.0572
H	ez	42.5947
H	ri	16.1242

Trigraphs		
A	ez#	-10.0422
A	#nt	-48.1743
A	bd#	48.4551
H	bd#	-32.1742
H	ez#	47.5327
H	#ri	11.1242

Digraph Processor Parameters	
A	44.2331
H	-32.8765

LID Parameters			
HFNAME	S	10	8
HFNAME	G	8	6
TAO	S	5	3
TAO	G	4	2
MORPHOLOGY	S	5	3
MORPHOLOGY	G	4	2
NGRAM	S	4	3
NGRAM	G	3	2

Threshold Parameters				
A	50	1.4532	10	8
H	73	20.5000	5	6
O	38	(null)	100	3

Fig. 5

The flowchart illustrates the process of searching for Hispanic names. It begins with an **INPUT NAME** (represented by a keyboard icon) which is fed into a **NAME CLASSIFIER (ADVANCED NAME CLASSIFIER FOR**. This classifier outputs to a box labeled **INPUT NAME IDENTIFIED AS HISPANIC BY NAME CLASSIFIER** (602). The process then continues through a **HISPANIC NAME PREPROCESSOR 1.0** (604), a **HISPANIC SEARCH ENGINE 2.0** (606), and a **DATABASE** (608). The results are then processed by a **HISPANIC FILTER AND SORTER 3.0** (610) to produce the final **SORTED HISPANIC SEARCH RESULTS** (612).

```
graph TD; Input[INPUT NAME] --> Classifier[NAME CLASSIFIER (ADVANCED NAME CLASSIFIER FOR]; Classifier --> Identified[INPUT NAME IDENTIFIED AS HISPANIC BY NAME CLASSIFIER 602]; Identified --> Preprocessor[HISPANIC NAME PREPROCESSOR 1.0 604]; Preprocessor --> SearchEngine[HISPANIC SEARCH ENGINE 2.0 606]; SearchEngine --> Database[(DATABASE 608)]; Database --> FilterSorter[HISPANIC FILTER AND SORTER 3.0 610]; FilterSorter --> Results[SORTED HISPANIC SEARCH RESULTS 612];
```

Fig. 6

Fig. 6

